



Docket No. 740756-2183

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Shunpei YAMAZAKI)
Serial No. 09/620,968) Examiner: Nathan W. Ha
Filed: July 20, 2000) Group Art Unit: 2814
For: METHOD OF FORMING INSULATION FILMS,) Confirmation No. 4214
CAPACITANCES, AND SEMICONDUCTOR DEVICES)

REQUEST FOR ACKNOWLEDGMENT OF
INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
PO Box 1540
Alexandria, VA 22313-1450

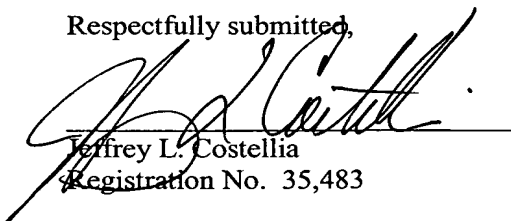
Sir:

An Information Disclosure Statement with Form PTO-1449 was filed in the above-identified patent application on September 9, 2004. Applicants have not yet received back from the Examiner a copy of the Form PTO-1449 initialed to acknowledge the fact that the Examiner has considered the cited disclosed information.

The Examiner is requested to initial and return to the undersigned a copy of the subject Form PTO-1449.

Should there be any questions concerning this communication, please telephone the undersigned at the number set forth below.

Respectfully submitted,



Jeffrey L. Costellia
Registration No. 35,483

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Shunpei YAMAZAKI) Art Unit: 2814
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For: METHOD OF FORMING INSULATION FILMS,)
CAPACITANCES, AND SEMICONDUCTOR) Confirmation No. 4214
DEVICES)

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to: Mail Stop AF, Commissioner of Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, or being facsimile transmitted to the USPTO at (703) 872-9306, on September 9, 2004.

Angelique Graham
Angelique Graham

**REQUEST FOR ACKNOWLEDGMENT OF
CORRECTION OF PTO-1449 FORM
OF THE INFORMATION DISCLOSURE STATEMENT**

Mail Stop AF
Commissioner for Patents
P.O. Box 1540
Alexandria, Virginia 22313-1450

Sir:

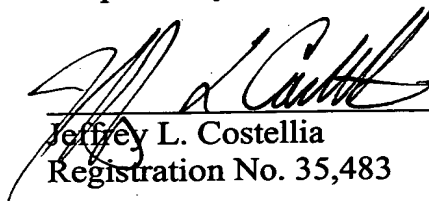
The Applicant wishes to thank the Examiner for indicating consideration of the IDS filed on July 20, 2000 in the final Office Action of May 5, 2004; however, upon reviewing the Examiner's initialed PTO-1449 form sheets provided with the May 5th Office Action, several typographical errors were noted in the citations of the first page of the PTO-1449 form. In particular, the French patent document "26-40078" listed the incorrect date which should have been "6/8/1990"; while the literature article to Young et al. did not list the relevant pages "pp. 174-176" and the literature article of Kozuma et al. improperly listed the page numbers which should have been "pp. 983-991".

It is respectfully requested that the Examiner, in the next Office Action, include an initialed copy of the attached Form PTO-1449A to acknowledge the fact that the Examiner has considered the correctly cited information in order that the correct information can be printed on any patent issuing from the instant application.

The Examiner is requested to initial and return to the undersigned a copy of the subject Form PTO-1449.

Should there be any questions concerning this communication, please telephone the undersigned at the number set forth below.

Respectfully submitted,



Jeffrey L. Costellia
Registration No. 35,483

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JLC/JWM



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known			
				Application Number		09/620,968	
				Filing Date		July 20, 2000	
				First Named Inventor		Shunpei YAMAZAKI	
				Art Unit		2814	
				Examiner Name		Nathan Ha	
Sheet	1	of	1	Attorney Docket Number		740756-2183	

U.S. PATENT DOCUMENTS					
Examiner Initials [*]	Cite No. ¹	U.S. Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		FR-26-40078	06/08/1990			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
		Young et al., “Correlation... Ta ₂ O ₅ films”, J. Fac. Sci. Technol., Vol 14, No. 1, Jan/Feb 1977, pp. 174-176.		
		Kozuma et al., “Some Properties of Silica Film Made by RF Glow Discharge Sputtering”, J. Jap of Appl. Phys., Vol. 9, No. 8, August 1970. pp 983-991.		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Shunpei YAMAZAKI

Serial No. 09/620,968

Filed: July 20, 2000

For: METHOD OF FORMING INSULATION FILMS,)
CAPACITANCES, AND SEMICONDUCTOR)
DEVICES)

) Confirmation No. 4214

) Art Unit: 2814

)

) Examiner: Nathan W. Ha

) Dated: July 26, 2006
)

CORRECTED INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1540
Alexandria, Virginia 22313-1450

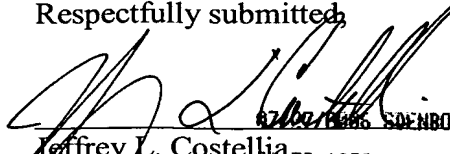
Sir:

Applicants have identified a slight typographical error in a Form PTO-1449 that was filed in the United States Patent and Trademark Office on October 17, 2003. In particular, on the form PTO-1449, on page 4, the date for JP 03-016129 should read --01/24/1991--, rather than 24/01/1991.

In order to correct these errors, Applicants enclose a new Form PTO-1449. A copy of the above document was previously provided in a parent application and considered by the Examiner. Applicants respectfully request the Examiner to initial and date the enclosed Form PTO-1449 to indicate consideration of these references, and to mark through the incomplete entries on the PTO-1449 filed on October 17, 2003.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380. (740756-2183)

Respectfully submitted,


Jeffrey L. Costellia
Registration No. 35,483

02/02/2006 SOENB081 00000077 192380 09620968
01 FC:1806 180.00 DA

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(202) 585-8000
JLC/mdd



Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (CORRECTED)				Complete if Known	
				Application Number	
				09/620,968	
				Filing Date	
				July 20, 2000	
				First Named Inventor	
Shunpei YAMAZAKI					
Art Unit					
2814					
Examiner Name					
Nathan Ha					
Attorney Docket Number					
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Sheet	1	of	1
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		JP 03-016129	01/24/1991			AB

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	
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Page 1

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DEVICES)

INFORMATION DISCLOSURE STATEMENT

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Alexandria, Virginia 22313-1450


Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. §1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. §371 after June 30, 2003.

The Commissioner is hereby authorized to the \$180 IDS filing fee, and charge any additional fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380. (740756-2183)

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		Number - Kind Code ² (if known)			
		US-6,144,057	11/07/2000	Yamazaki	
		US-			
		US-			

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Examiner Initials [*]	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	
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